



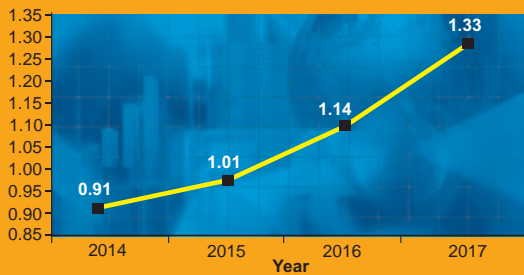
# CDSL e-Infoline

October 2017

*Wishing You  
A  
Happy Diwali*

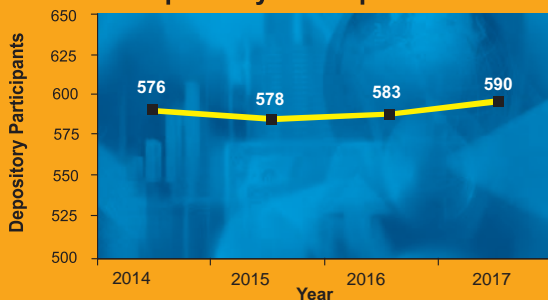


### Beneficial Owners



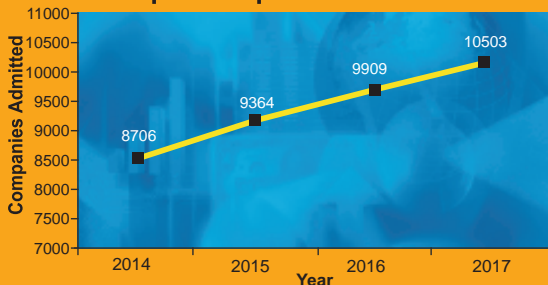
During the last 12 months, the number of active BO accounts with CDSL has increased from 1.14 Crore as on September 30, 2016 to 1.33 Crore as on September 30, 2017, which indicates growth of 16.67 %.

### Depository Participants



As on September 30, 2017 CDSL has 590 DPs offering DP services from over 17,000 locations across the country. These DPs comprise of CMs, Banks, NBFCs & Custodians.

### Unique Companies Admitted



The securities of almost all listed companies have been admitted with CDSL for demat. Further, a large number of Private Limited and unlisted companies are also admitted with CDSL. As on September 30, 2017, the securities of 10503 unique companies have been admitted for demat with CDSL.

diligence would be registered with CCRL as an RP. The RP could have branches which may have limited rights. The RP (with full rights or limited rights) would be able to start operations as an RP immediately on signing the bipartite or tripartite agreement with CCRL.

An RP having full rights would be allowed to Open and Manage demat accounts, facilitate deposit, transfers, transmission, pledge, freeze, conversion, revalidation and withdrawal. An RP having limited rights would be allowed access to some of the aforementioned activities.

**2) Warehouse Service Provider (WSP) /Warehouse (WH):** Any warehouse service provider (WSP) or warehouse (WH) that is accredited by WDRDA would automatically be on boarded into CCRL on real time. The WSP/WH can operate as a WSP/WH in the Repository till such time they are active as per their cords of WDRDA. The activities of the WSP/WH would be discontinued immediately on discontinuation of their active status with WDRDA.

The WSP/WH would be allowed to open and manage demat accounts, facilitate deposit, conversion, revalidation and withdrawal.

### RELOCATION OF CDSL's MUMBAI OFFICE

With effect from Monday, October 30, 2017, CDSL's registered and corporate office presently at P.J.Towers, 15th-17th Floor, Dalal Street, Mumbai- 400 001 will be relocating to the following address:

Marathon Futurex, 25th Floor,  
N. M. Joshi Marg, Lower Parel (East),  
Mumbai - 400 013.

Telephone No: + 91-22-2302 3333

### CDSL Commodity Repository Limited (CCRL)

CCRL is pleased to inform that it has received a formal registration certificate as Commodity Repository on September 26, 2017 from Shri. Ram Vilas Paswan, Hon'ble Union Minister of Consumer Affairs, Food and Public Distribution at a function organized by Warehousing Development and Regulatory Authority (WDRDA) for the launch of WDRDA portal and electronic Negotiable Warehouse Receipts (eNWR). The event was graced by Shri C. R. Chaudhary, Hon'ble Minister of State for Consumer Affairs, Food and Public Distribution, Shri. G. C. Chaturvedi, Chairman - WRDA, Dr. B.B. Pattanaik, Member - WDRDA and Shri. Petluri Srinivas, Member - WDRDA.



CCRL is in the business of holding and transacting in warehouse receipts in electronic form. The electronic warehouse receipts (eWR) can be of two type's viz. electronic negotiable warehouse receipts (eNWR) or electronic non-negotiable warehouse receipts (eNNWR).

CCRL is formed with a paid up capital of Rs.50 crore, with Warehousing Development and Regulatory Authority (WDRDA) as the regulator overseeing its activities.

The direct users of the repository system would be as under:

- 1) Repository Participant (RP)
- 2) Warehouse Service Provider (WSP) /Warehouse (WH)
- 3) Assayer
- 4) Depositor / Client

**1) Repository Participant (RP):** The Repository Participants are registered and licensed by the Repository to provide all functions or limited functions to the depositors / clients it gives services to. The RPs presently could be any entity registered with Reserve Bank of India (RBI) or Securities Exchange Board of India (SEBI), Insurance Regulatory and Development Authority of India (IRDAI) or Pension Fund Regulatory and Development Authority (PFRDA) or Warehousing Development and Regulatory Authority (WDRDA). Such an entity could make an application to CCRL and on completing the due

**3) Assayer:** When a depositor/client deposits commodities in a warehouse and seeks assayment of the commodities deposited therein. The warehouse after doing the initial entry of the receipt of commodities would request the assayer concerned to provide the parameters for the said commodity. Assayer would test the sample and provide the parameters for the said sample. This would be recorded for the said eNWR.

**4) Depositors / Clients:** The depositor / client will be able to do transactions related to deposit, conversion, transfers, pledge, freeze, revalidation and withdrawal through CCRL portal subject to confirmation / approval from the RP. Any depositor / client who opens a demat account with CCRL would be able to get a view of the holdings and transactions made in the repository. All holdings of commodities by the depositor / client will be held in the demat account in the form of eNWR or eNNWR.

### NISM DEPOSITORY OPERATIONS CPE PROGRAM

As an NISM accredited CPE Provider for conducting the Depository Operations Program, CDSL conducted Continuing Professional Education (CPE) training programme at Jaipur on September 16, 2017 and Cochin on September 30, 2017.

### SUCCESSFUL FACILITATION OF e-VOTING

At present, more than 4600 companies have signed agreements with CDSL for its e-Voting facility, of which over 4100 companies have used CDSL's e-Voting platform, [www.evotingindia.com](http://www.evotingindia.com), to conduct electronic voting for AGMs and postal ballot resolutions for over 15,500 occasions.

### CDSL AWARDED IDG's NETWORKING PIONEER AWARD



CDSL is pleased to inform that it has been awarded IDG's Networking Pioneer Award. The Networking Pioneer Award honors IT leaders for supporting their businesses in addressing challenges such as scalability and growth through their robust networking framework.

### CDSL AWARDED BFSI IT LEADERSHIP AWARD



CDSL is pleased to inform that it has been awarded BFSI IT Leadership Award. The BFSI IT Leadership Awards recognizes the adapt ability and revolution in BFSI Industry, and the contribution of IT leaders for delivering innovative solutions and business value to the organization in an innovative manner. BFSI Innovation & Technology Summit 2017 brings together Senior IT executives and Innovative Solution Providers to discuss the critical techno-

logy issues affecting today's BFSI sector including threats and challenges posed by cyber security, money laundering etc.

### INVESTOR AWARENESS PROGRAMMS (IAPs)

During September 2017, CDSL BOPF Trust has conducted 19 IAPs across India. DPs / CMs also participated in these IAPs. These programs were directed towards educating Investors about depository services, investing in Capital Markets, etc. For details on forthcoming IAPs, kindly click on [Investor Meet](#).



Mr. Savio Joseph of SBICAP Securities Ltd. addressing the audience at an IAP conducted in Trichur, Kerala on September 16, 2017.



Mr. Nick Godbole of RKSVM Securities India Private Limited addressing the audience at an IAP conducted in Ahmednagar, Maharashtra on September 09, 2017.

# AFSF 2017-Knowledge Sharing Workshop

The Asia Fund Standardization Forum (AFSF) Knowledge Sharing Workshop (AFSF 2017) was hosted by CDSL on 12th & 13th September 2017 at The St. Regis, Mumbai, India. The seminar was inaugurated in the august presence of Shri. G. Mahalingam, Whole Time Member, Securities and Exchange Board of India and Shri P.S. Reddy, MD&CEO, CDSL, Mr. Seog Jae Kim, Managing Director, Korea Securities Depository and Mr. Tilman Fletcher, Member of Executive Board, Clearstream Banking.

Over 50 delegates from depositories, clearing corporations, banking and advisory firms from over 10 countries attended the seminar, with an objective to facilitate exchange of information and to promote mutual assistance among members.

During the 2 day seminar, eminent speakers from the Indian mutual fund industry familiarized participants with the Indian Mutual Fund ecosystem. The event included sessions on important topics such as Subscription/Redemption Processing of Domestic Funds, Value Added Services, Market Trends and Issues across the region and cross-border trading. The seminar also included panel discussion on comparison of Offshore Fund Markets and Advisory Sessions from global fund service providers.



Shri G. Mahalingam, Whole Time Member, SEBI, inaugurating the AFSF workshop with lamp lighting ceremony in the august presence of Shri P.S. Reddy, MD&CEO, CDSL, Mr. Seog Jae Kim, Managing Director, Korea Securities Depository and Mr. Tilman Fletcher, Member of Executive Board, Clearstream Banking.



Shri G. Mahalingam, Whole Time Member, SEBI addressing the delegates.



Shri P.S. Reddy, MD&CEO, CDSL addressing the delegates.



Shri Milind Barve, MD, HDFC Asset Management Co. Ltd. addressing the delegates.



Panel discussion on "Comparison of Offshore Fund Markets".



Delegates of AFSF Knowledge Sharing Workshop.